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IN RE APPLICATION NUMBER: 10/588,646
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THE SENDER IS:  Alla Brukman  Registration Number: 61,254  ROPES & GRAY LLP  1211 Avenue of the Americas  New York, New York 10036  Tel.: (212) 596-9000  Fax.: (212) 596-9090
CLIENT/MATTER NO. 104825-0020; Docket No.: INTM/020
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/588.646 Application Number August 7, 2006 TRANSMITTAL Filing Date First Named Inventor Bao-Jian Li FORM Not Yet Assigned Art Unit Not Yet Assigned Examiner Name (to be used for all correspondence after initial filing) **Attorney Docket Number** INTM/020 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Petition Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Terminal Disclatmer Other Enclosure(s) (please Identify Extension of Time Request helow): Request for Refund Change of Attorney Docket Number; **Express Abandonment Request** Copy of Assignment (7 sheets); and CD, Number of CD(s) \_ Statement Under 37 CFR Information Disclosure Statement Landscape Table on CD 3.73(b)Certified Copy of Priority Remarks Document(s) The Director is hereby authorized to charge any fee due, or to credit any overpayment, in connection with this submission to Deposit Account No. 06-Reply to Missing Parts/ 1075, Order No. 104825-0020. A duplicate copy of this Transmittal is Incomplete Application enclosed herewith. Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Ropes & Gray LLP Firm Name Illa pren Signature Printed name Alla Brukman 61,254 Reg. No. February 4, 2008 Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature Date February 4, 2008 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Onder the Paperwork Ret	deticit Act of 1995, no parson	Application Number	10/588,6				
TRANSMITTAL		Filing Date		August 7, 2006			
		First Named Inventor	Bao-Jian Li				
FORM		Art Unit		Not Yet Assigned			
		Examiner Name		t Yet Assigned			
(to be used for all correspo			-	<u>_</u>			
Total Number of Pages in T	his Submission 13	Attorney Docket Number	INTM/0	20			
ENCLOSURES (Check all that apply)							
Fee Transmittal Fo	ım 🔲	Drawing(s)		After	Allowance Communication to TC		
Fee Attach	ned	Licensing-related Papers		Appea of App	ol Communication to Board seals and Interferences		
Amendment/Reply		Petition Petition to Convert to a		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Provisional Application  Power of Attorney, Revocation	on.	Propr	etary information		
Affidavits/o	declaration(s)	Change of Correspondence		Status Letter			
Extension of Time	Request	Terminal Olsclaimer			r Enclosure(s) (please Identify		
Express Abandonr	ment Request	Request for Refund Cha		Change of	below): ange of Attorney Docket Number;		
Information Disclos	1 -	CD, Number of CD(s)	_	ssignment (7 sheets); and			
information Disclos	sule Statement	Landscape Table on C	α	Statement Under 37 CFR 3.73(b)			
Document(s)  Reply to Missing F Incomplete Applica  Reply to M	Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53  Remarks  Remarks  The Director is hereby authorized to charge any fee due, or to credit any overpayment, in connection with this submission to Deposit Account No. 06- 1075, Order No. 104825-0020. A duplicate copy of this Transmittal is enclosed herewith.						
	SIGNATURE	OF APPLICANT, ATTO	RNEY, O	R AGENT			
Firm Name Ropes	& Gray LLP						
Signature Oll	le pa-				•		
Printed name Alla Bi	rukman						
Date Februa	Date February 4, 2008 Reg. No. 61,254						
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Signature	Sauch	Ichlu-					
Typed or printed name SACAH SCHIE Date Febr					February 4, 2008		

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STATEMENT UNDER 37 CFR 3.73(b)					
Applicant/Patent Owner: Bao-Jian Li et al.					
Application No./Patent No.: 10/588,646					
Entitled: METHODS AND COMPOSITIONS FOR CO					
Intradigm Corporation (Name of Assignoe)	(Type of Assignee, e.g., corporation, partnership, un	versity, government agency, etc.)			
states that it is:  1.  the assignee of the entire right, title, and intere	st; or				
2.   an assignee of less than the entire right, title at (The extent (by percentage) of its ownership in	nd interest nterest is <u>50</u> %)				
in the patent application/patent identified above by vi	irtue of either:				
A. An assignment from the inventor(s) of the pate in the United States Patent and Trademark Off OR	ice at Reel, Frame, or for whic	at a copy thereof is attached.			
B. A chain of title from the inventor(s), of the pate	nt application/patent identified above, to the c	urrent assignee as follows.			
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Additional documents in the chain of title at	re listed on a supplemental sheet.	Ϋ́			
As required by 37 CFR 3.73(b)(1)(i), the docume was, or concurrently is being, submitted for recordat [NOTE: A separate copy (i.e., a true copy of the Division in accordance with 37 CFR Part 3, 302.08]	ion nursusat to 37 CER 3 11	ubmitted to Assignment			
The undersigned (whose title is supplied below) is a	uthorized to act on behalf of the assignee.				
aller min		February 4, 2008			
Signature	04.054)	Date (212) 596-9000			
Alla Brukman (Reg. No.					
Printed or Typed Nan	ne	Telephone Number			
Agent	·				
Title					

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the including comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED TO THIS ADDRESS. SEND TO Commissionar for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Assignos A	Name and Address: radigm Corporation				•
	15 Parklawn Drive				
	kville, Maryland 20852				
<b>A</b>	f this form, together with a statement un		IN IEAR DYOISD	106 or ourivalent) is	required to be
filed in ea	ach application in which this form is use	d. The stateme	nt under 37 CFR 3	.73(b) may be compl	cted by one of
the pract	itioners appointed in this form if the app	ointed practitios	nar is authorized t	o act on behalf of th	o assignee,
and must	t identify the application in which this Po	wer of Attorney	is to be filed.		
	\$IGNA	TURE of Assigned	of Record		
	The individual whose sugarante and title	is supplied below	is authorized to act o	n behalf of the assignce	<u> </u>
Signature				Cate 2/10/	07
None -	Michael A. Ridey			Telephone 650-85	55-9696
Title	Vice President, Corpora	ate Devel	oument	<del></del>	
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FEB 0 4 2008

Attorney Docket No. INTM/020

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

Bao-Jian Li et al.

Application No.

10/588,646

Confirmation No.

Not Yet Assigned

Filed

August 7, 2006

For

METHODS AND COMPOSITIONS FOR COMBINATION RNAI

THERAPEUTICS

Group Art Unit

Not Yet Assigned

Examiner

Not Yet Assigned

New York, New York February 4, 2008

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER

Dear Sir:

Please change the Attorney Docket No. for the above-identified application to INTM/020.

Applicants believe that no fee is due with this request. However, if a fee is due, the Director is hereby authorized to charge payment of any fees in connection with this paper to Deposit Account No. 06-1075, Order No. 104825-0020. A duplicate copy of this paper is transmitted herewith.

Respectfully submitted,

Alla Brukman

Reg. No. 61,254

Agent for Applicants

c/o ROPES & GRAY LLP

alla Bez

1211 Avenue of the Americas

New York, New York 10036-8704

Telephone: (212) 596-9000

Facsimile: (212) 596-9090

# RECEIVED CENTRAL FAX CENTER

FEB 0 4 2008

Attorney Docket No. INTM/020

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Respectfully submitted,

Alla Brukman

Reg. No. 61,254

Agent for Applicants

c/o ROPES & GRAY LLP 1211 Avenue of the Americas

New York, New York 10036-8704

Telephone: (212) 596-9000 Facsimile: (212) 596-9090

1/33/4

#### INTM/020 PCT

#### PCT ASSIGNMENT

D WC,	
(1)	Bao-Jian Li
<b>(</b> 2)	Frank Y. Xie
(3)	Martin C. Woodle
<b>(</b> 4)	Patrick Y. Lu
	residing at
(1)	c/o Intradigm Corporation
	12115 Parklawn Drive
	Suite K
	Rockville, Maryland 20852
	Untied States of America,
(2)	13291 Rockingham Road
	Germantown, MD 20874
	United States of America
(3)	8205 Beech Tree Road
	Bethesda, MD 20817
	United States of America
(4)	17093 Briardale Road
	Rockville, Maryland 20855
	Heited States of America

for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to and do hereby assign, sell and transfer to <u>Intradigm</u> Corporation, a corporation organized and existing under the laws of the <u>State of Delaware</u> and having an office and a place of business at <u>3350 W. Bayshore Rd.</u>, <u>Palo Alto. California 94303</u>, its successors and assigns:

(1) the entire right, title and interest in all countries throughout the world in and to any and all my/our inventions and discoveries disclosed in my/our International Patent Application, filed under the Patent Cooperation Treaty filed in the United States Receiving Office (RO/US) on February 7, 2005 assigned serial number PCT/US2005/003858, entitled: "METHODS AND COMPOSITIONS FOR

COMBINATION RNA; THERAPEUTICS", and designating all PCT member countries, including Albania (AL), Algeria (DZ), Antigua & Barbuda (AG), Armenia (AM), Australia (AU), Austria (AT), Azerbaijan (AZ), Barbados (BB), Belarus (BY), Belgium (BE), Belize (BZ), Benin (BJ), Bosnia and Herzegovina (BA), Botswana (BW), Brazil (BR), Bulgaria (BG), Burkina Faso (BF), Cameroon (CM), Canada (CA), Central African Republic (CF), Chad (TD), China (CN), Colombia (CO), Congo (CG), Costa Rica (CR), Côte d'Ivoire (CI), Croatia (HR), Cuba (CU), Cyprus (CY), Czech Republic (CZ), Democratic People's Republic of Korea (KP), Denmark (DK), Dominica (DM), Ecuador (EC), Egypt (EG), El Salvador (SV), Equatorial Guinea (GQ), Estonia (EE), Finland (FI), France (FR), Gabon (GA), Gambia (GM), Georgia (GE), Germany (DE), Ghana (GH), Greece (GR), Grenada (GD), Guinea (GN), Guinea Bissau (GW), Honduras (HN), Hungary (HU), Iceland (IS), India (IN), Indonesia (ID), Ireland (IE), Israel (IL), Italy (IT), Japan (IP), The Comoros (KM), Kazakstan (KZ), Kenya (KE), Kyrgyzstan (KG), Lao People's Democratic Republic (LA), Latvia (LV), Lesotho (LS), Liberia (LR), Libyan Arab Jamahriya (LY), Liechtenstein (LI), Lithuania (LT), Luxembourg (LU), Madagascar (MG), former Yugoslav Republic of Maccdonia (MK), Malawi (MW), Malaysia (MY), Mali (ML), Mauritania (MR), Mexico (MX), Monaco (MC), Mongolia (MN), Morocco (MA), Mozambique (MZ), Namibia (NA), Netherlands (NL), New Zealand (NZ), Nicaragua (NI), Niger (NE), Nigeria (NG), Norway (NO), Oman (OM), Papua New Guinea (PG), the Philippines (PH), Poland (PL), Portugal (PT), Republic of Korea (KR), Republic of Moldova (MD), Romania (RO), Russian Federation (RU), Saint Lucia (LC), Saint Kitts and Nevis (KN), Saint Vincent and the Grenadines (VC), San Marino (SM), Senegal (SN), Seychelles (SC), Sierra Leone (SL), Singapore (SG), Slovakia (SK), Slovenia (SI), South Africa (ZA), Spain (ES), Sri Lanka (LK), Sudan (SD), Swaziland (SZ), Sweden (SE), Switzerland (CH), Syrian Arab Republic (SY), Tajikistan (TJ), United Republic of Tanzania (TZ), Togo (TG), Trinidad and Tobago (TT), Tunisia (TN), Turkey (TR), Turkmenistan (TM), Uganda (UG), Ukraine (UA), <u>United Arab Emirates (AE)</u>, United Kingdom (GB), United States of America (US), Uzbekistan (UZ), Viet Nam (VN), Serbia & Montenegro (YU), Zambia (ZM) and Zimbabwe (ZW), and all other PCT member countries, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefore; (2) the full and complete right to file patent applications in the name of Intradigm Corporation, its designee, or in my/our names at

Intradigm Corporation, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue on the aforesaid inventions, discoveries and applications in any country of the world and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the following priority application(s):

Serial No. Country Filing Date 60/541,776 United States February 5, 2004

and all rights of priority in any country of the world deriving from the aboveidentified International Patent Application.

I/We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in any country throughout the world to Intradigm Corporation as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I/We agree, at any time, upon the request of <u>Intradigm Corporation</u> to execute and to deliver to <u>Intradigm Corporation</u> any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I/We further agree, at any time, upon request of <u>Intradigm Corporation</u> to execute and to deliver to <u>Intradigm Corporation</u> such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect any and all rights of <u>Intradigm Corporation</u> under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

It is our intention that this Assignment be effective and all rights transferred herein shall be considered to have vested in <u>Intradigm Corporation</u> on February 7, 2005, the filing date of International Patent Application No. <u>PCT/US2005/003858</u>.

ASSIGNOR:				
		В	ao-Jian Li (1)	
	n this day of			
	and executed the for			
	ignment was executed			
			Notary Public	
ASSIGNOR:				
		Fra	nk Y. Xie (2)	
Óī	n this <u>H</u> day o	May	, <u>2007</u> , <u>Frank</u>	Y. Xie (2)
	and executed the ISA Programment was secured OTA	tgoing Assignmen		wledged to
ASSIGNOR:	AU31	HIS COUNTY EXPIRI	Notary Public OMMISSIO ES L.D. J. Z	N 1010
		Marti	n C. Woodle (3)	
Qr	this day of	May 2	.007, Martin C.	Woodle (3)
Maryland	ared before me a a will will will and and executive Sheffor	Notary Public	in and for that and duly acknow	e state of owledged to
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Page <u>5</u> of <u>7</u>

On this day of 2007, Patrick Y. Lu (4)

personally appeared before me, a Notary Public in and for the state of and executed the difference and purposes therein expressed.

Notary Publis SION

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### ACKNOWLEDGEMENT OF ASSIGNEE:

Corporation  By:							
Name Title							
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	On	this	day	of			2007.
		_ personally	appeared bet	fore me, a	a Notary l	Public in and	d for the sta
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the Assigne	e.				-		
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